**LICENSEE INFORMATION**

|  |  |
| --- | --- |
| Licensee number |  |
| Legal name |  |
| Trade name |  |
| Address |  |
| Individual to contact/title |  |
| Phone number | ( ) |
| Email Address |  |

**TRANSACTION TYPE**

|  |  |  |  |
| --- | --- | --- | --- |
| Loan |  | Lease |  |
| Guaranty |  | Capital Contribution |  |
| Donation of Property |  | Conversion of Accounts Payable To a Note |  |

If other than above, please specify\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**INFORMATION ON OTHER PARTY TO THE CONTRACT**

|  |  |
| --- | --- |
| Name of lender, lessor or other (indicate relationship) |  |
| Address |  |

NOTE: A supplemental information form may need to be completed for certain parties to the transaction. See instructions for additional information.

**DESCRIPTION OF TRANSACTION**

|  |  |
| --- | --- |
| Transaction Date |  |
| Transaction Amount |  |
| Purpose |  |
| Interest Rate (if applicable) |  |
| Payment Amount and frequency |  |
| Fair Market Value of Property (see instructions) |  |

If this transaction is a modification or renewal of a previously filed transaction, please indicate the date of the original transaction and describe any changes:

|  |
| --- |
|  |
|  |
|  |
|  |
|  |

Collateral and Guaranties - Please describe any collateral or guaranties used to secure this transaction. Identify legal owner of any collateral.

|  |
| --- |
|  |
|  |
|  |

Other Parties - Please list any endorsers, co-signers or participants. Include name and address of other party(ies) and a description of the person's or entity's involvement.

|  |
| --- |
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|  |

Was a finder's fee paid? Yes ⬜ Amount \_\_\_\_\_\_\_No ⬜ Note: Regulation 5.120 defines finder's fees and requires that advance approval be obtained before paying the fee.

If this filing is delinquent, please provide an explanation:

|  |
| --- |
|  |
|  |
|  |
|  |
|  |

I \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (print name) do hereby swear under penalty of perjury that I am the \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(print title) of the legal entity reporting this transaction and that, to the best of my knowledge and belief, the assertions contained in these documents are true, accurate and complete. NOTE: Form must be signed by an owner, corporate officer or key employee as defined in Regulation 3.110.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date