



NEVADA

GAMING CONTROL BOARD GAMING COMMISSION

Nevada Gaming Control Board
Investigations Division
Attention: Registration Services
7 State of Nevada Way
Las Vegas, NV 89119
(702) 486-2260
RegistrationServices@gcb.nv.gov

LICENSEE ACKNOWLEDGEMENT ANTI-MONEY LAUNDERING (AML) TRAINING AND DUE DILIGENCE OF AN INDEPENDENT AGENT

Independent Agent: _____

Licensee: _____

The above-listed 'Licensee' understands and acknowledges that the above-listed 'Independent Agent,' who has filed an application for registration as an independent agent or a renewal application as an independent agent or is registered and seeking to act on behalf of the Licensee, has completed the Licensee's anti-money laundering training, and the Licensee has conducted due diligence on the independent agent with acceptable results.

The Licensee acknowledges that an independent agent and any secondary representative must complete annual training on the Licensee's anti-money laundering program, and the independent agent and any secondary representative must acknowledge completion of the training. Pursuant to NGC Regulation 25.020(4) and 25.050(1)(c) and (2)(f).

Please provide the following:

- ☐ Date of independent agent AML training: _____
- ☐ Date of independent agent due diligence: _____
- ☐ Outcome of due diligence: _____
- ☐ Binding date of the agreement: _____
- ☐ Provide a copy of the agreement, if it deviates from the standard controlling agreement.

NOTE: This form is required with all new and renewal applications for registration as an independent agent, and NGC Regulation 25.040(1) notifications. Only provide a copy of the agreement if it deviates from the standard controlling agreement already submitted to the Board, pursuant to NGC Regulation 25.040(4).