

INTERNAL CONTROL SYSTEM – COVER SHEET

Name of Licensee:

Location ID Number:

Effective Date of System/Amendments(s):

Reason(s) for amendment:

- | | |
|--|---|
| <input type="checkbox"/> Response to Board audit / interim violation letter dated: _____ | <input type="checkbox"/> Follow-up response to CPA compliance letter dated: _____ |
| <input type="checkbox"/> A new / updated internal control system (e.g., due to a management change, expansion, a special letter from the board, New MICS released, etc.) | <input type="checkbox"/> Addition of or a change of computerized associated equipment (e.g., a change from a manual to a computerized game, a new marker system, etc.) Specify: _____ |
| <input type="checkbox"/> Amendment for MICS variation granted; Board approval letter dated: _____ | <input type="checkbox"/> Miscellaneous updates |
| <input type="checkbox"/> Other : _____ | |

Applicable Sections Included in Internal Control System:

- | | |
|--|---|
| <input type="checkbox"/> Cage and Credit | <input type="checkbox"/> Entertainment |
| <input type="checkbox"/> Table Games | <input type="checkbox"/> Race and Sports |
| <input type="checkbox"/> Slots | <input type="checkbox"/> Pari-Mutuel |
| <input type="checkbox"/> Keno | <input type="checkbox"/> Information Technology |
| <input type="checkbox"/> Card Games | <input type="checkbox"/> Interactive Gaming |
| <input type="checkbox"/> Bingo | <input type="checkbox"/> Other |

Pursuant to NGC Regulation 6.090, the following individual(s) attest that the written system of internal control satisfies the requirements of the NGC Regulation 6.090 and the MICS:

Signature of Chief Executive Officer (or comparable position) or Licensed Owner:

Signature of Chief Financial Officer (or comparable position):

Print Name/Position: _____

Print Name/Position: _____

Signature: _____

Signature: _____

Date: _____

Date: _____

¹ An applicant for a Group I license submitting a signed Internal Control System Submission Summary form meets the submission requirements of NGC Regulation 6.090(2)(d) for a written statement attesting that the system satisfies the requirements of NGC Regulation 6.090.