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NOTICE TO LICENSEES

Notice # 2026-34

Issuing Division: Investigations

DATE: May 18, 2026

TO: All Licensees and Interested Persons

FROM: Mike Dreitzer, Chairman

SUBJECT: **NGC Regulation 5 Guidance and Requirements**

On April 23, 2026, the Nevada Gaming Commission adopted the amendments to NGC Regulations 5.045, 5.046, 5.047, 5.048, and 5.055 regarding, without limitation, compliance review and reporting systems, designation and licensing of certain compliance personnel, business entity funding of patron wagering activities, anti-money laundering (AML) program compliance officers, reporting of certain AML-related employment separations, and other matters properly related thereto.

As a result, the Nevada Gaming Control Board (NGCB) issues the following guidance for all licensees to comply:

NGC Regulation 5.045(7)

Effective immediately, all licensees or registrants required by the NGCB to maintain a compliance review and reporting system shall identify and designate each person responsible for:

- (a) oversight of the anti-money laundering program if required pursuant to federal law; and
- (b) oversight of the player development functions.

These requirements shall be incorporated into the compliance review and reporting system. A licensee or registrant shall provide notification to the NGCB Chair identifying and designating the appropriate persons. The notification should indicate if the appropriate persons are already registered with the NGCB. Additional information regarding such persons may be required prior to NGCB administrative review and approval.

Additionally, those persons designated above are deemed gaming employees and are subject to the provisions of NRS 463.335 through NRS 463.337 unless already licensed, found suitable, or registered. Individuals with questions about registration shall contact the Enforcement Division's Gaming Employee Registration Unit.

NGC Regulation 5.046

Each licensee shall designate a person responsible for overseeing, establishing, and maintaining the licensee's overall compliance strategy and compliance framework. This regulation is effective immediately; however, licensees shall designate this person and provide notification to the Investigations Division by July 1, 2026.

The designated compliance person is considered a key employee and shall contact Applicant Services of the Investigations Division to file a key employee application within 30 days of July 1, 2026. Additionally, a cover letter and Forms 1, 7, 7A, 10, 17, 18, 28, and 28A (which must be signed prior to obtaining fingerprints or fingerprints will be rejected) will be required along with a \$1,000 application fee.

For restricted licensees, if the designated compliance person differs from the currently licensed person at the location, including any key employee application on file with the NGCB, a notification shall be provided to the Investigations Division designating the person responsible for the overall compliance strategy and compliance framework.

An applicant or licensee may request a waiver of NGC Regulation 5.046 upon written request and good cause shown to the NGCB Chair. The NGCB Chair may condition, limit, or revoke a waiver granted under this regulation.

NGC Regulation 5.047

The Audit, Investigations, and Tax & License Divisions will evaluate a licensee's compliance with business entity funding requirements during the course of an audit, review, and/or investigation. This requirement will become effective six months following the adoption date of April 23, 2026.

NGC Regulation 5.048

Effective immediately, any person who has primary responsibility for overseeing a licensee's compliance with an AML program required pursuant to federal law is deemed a gaming employee and subject to the provisions of NRS 463.335 through 463.337 unless already licensed, found suitable, or registered. Individuals with questions about registration shall contact the Enforcement Division's Gaming Employee Registration Unit.

NGC Regulation 5.055

Effective immediately, licensees must notify the Enforcement Division within ten business days, via the GCB Apps Portal, of any termination or separation of service of a gaming employee for an intentional or willful violation of the licensee's AML policies.

Notifications must include:

- The employee's name; and
- A description of the reasons for separation; and
- Any additional information requested by the NGCB Chair or Chair's designee

Licensees can continue to submit non-gaming employee terminations to ENF5.055@gcb.nv.gov.

Please contact the Investigations Division with any questions or concerns.