

# Associated Equipment

## Submission Instructions

Nevada Gaming Control Board, Technology Division

---

## CONTENTS

Introduction .....	1
Approval Fees .....	1
Associated Equipment Licensing Requirements .....	2
Associated Equipment References and Definitions .....	2
Associated Equipment Regulatory Structure .....	2
New Associated Equipment .....	2
Modification to Existing Associated Equipment .....	3
Requirement Guides.....	3
Innovation Bank.....	3
New Associated Equipment Review and Approval.....	3
ITL Certification .....	4
Pre-Submission Consultation .....	4
Associated Equipment Submission to the Technology Division .....	4
Field Test (if applicable) .....	5
Final Approval/Disapproval.....	5
New Associated Equipment Submission Requirements.....	5
New Associated Equipment Approval Request Form .....	6
Deposit .....	6
Compliance Report.....	6
Report Matrix.....	7
Complete Technical Documentation.....	7
Certification Report From a Registered Independent Testing Laboratory (ITL).....	7
Sample Audit .....	8
Accounting Narrative.....	8
Documentation Supporting a Field Test.....	8
Regulation 14.060 Contact.....	8
Miscellaneous.....	9
Associated Equipment Modification Submission Requirements .....	9

ACES Initiative Modification Notification .....	10
Emergency Modifications .....	11
AE Submission Flowchart for the Four Different Submission Types.....	13
Submission Documentation for Associated Equipment Modifications .....	14
Modification Approval Request form.....	14
Mod Text .....	14
Hardware Modifications .....	14
Compliance Report.....	14
Report Matrix.....	15
Certification report from a Registered Independent Testing Laboratory (ITL) .....	15
SHA-1 Signature Document.....	15
Sample Audit .....	15
Deposit .....	15
Miscellaneous.....	15
Electronic Submission Requirements.....	15
Contact Us.....	16

# ASSOCIATED EQUIPMENT SUBMISSION INSTRUCTIONS

## INTRODUCTION

Associated Equipment must be reviewed and approved by the Board prior to installation and use at any gaming licensee establishment as required by NGC Regulation 14.260.

NGC Regulation 14.260(1) allows for applications to be made and processed using such forms as the Chair may prescribe. This document is intended to detail what forms are necessary for this process.

## APPROVAL FEES

[NRS 463.670\(5\)](#) allows the Board to inspect all Associated Equipment. Pursuant to the provisions of NRS [463.670\(5\)](#), the Board charges manufacturers of Associated Equipment a fee for inspections of new Associated Equipment and modifications of previously approved Associated Equipment.

Pursuant to NGC Regulation 14.270, a manufacturer may be required to provide specialized equipment or the services of an independent technical expert to evaluate the equipment. Manufacturers will be billed for the cost of the equipment or services. Associated Equipment inspection fees are charged at a rate for inspection time and for related travel time as established by the Board Chair.

As of March 23, 2026, the inspection and related travel time for the review of Associated Equipment are as follows:

- New Associated Equipment: \$200 per hour
- Associated Equipment modifications: \$1800 per component for the first 4 hours of review time and at a rate of \$200 per hour thereafter

It is the manufacturer's responsibility to ensure that their account balance is maintained at a level sufficient to cover the anticipated cost of the review. If an

account has an insufficient balance the review process will be discontinued and no additional work on the manufacturer's submissions will be performed until such time that the account is sufficiently funded. If the account is not sufficiently funded within a reasonable timeframe, the Associated Equipment or Associated Equipment modification will be disapproved.

## **ASSOCIATED EQUIPMENT LICENSING REQUIREMENTS**

Licensure is generally not required for a manufacturer or distributor of Associated Equipment, unless the Associated Equipment is a cashless wagering system as defined by [NRS 463.014](#), then licensure required by [NRS 463.650](#). All other Associated Equipment manufacturers are required to register with the Board as required by [NRS 463.665](#) and described in NGC Regulations 14.020(4), 14.302, and 14.305. Applications for registration can be found on the Board's website.

## **ASSOCIATED EQUIPMENT REFERENCES AND DEFINITIONS**

Associated Equipment is a broad category of products in Nevada. The items below are listed to help identify applicable requirements for it.

---

### **ASSOCIATED EQUIPMENT REGULATORY STRUCTURE**

The regulatory structure of Associated Equipment consists of the following:

- i. Nevada Revised Statutes Chapters 368A, 463, 464, and 465
- ii. Nevada Gaming Commission (NGC) Regulations
- iii. All applicable Technical Standards
- iv. All applicable Minimum Internal Control Standards (MICS)
- v. All applicable Internal Control Procedures (ICPs)

---

### **NEW ASSOCIATED EQUIPMENT**

Associated Equipment will be considered "New" based on the following:

- i. A collection of hardware and/or software that has not been previously approved by the Board, but will be used by a Nevada gaming licensee to achieve compliance with the reporting or control procedure requirements set forth in the Associated Equipment regulatory structure.

- ii. Functionality to be used as a component of or with previously approved Associated Equipment which has not been previously reviewed and approved by the Board.
- iii. Any significant modification(s) that fundamentally alters any functionality, operation, or implementation to previously approved Associated Equipment. Examples of significant changes include changing operating systems, databases, or migrating the Associated Equipment programming and development from one source code structure to another.

---

### **MODIFICATION TO EXISTING ASSOCIATED EQUIPMENT**

Any change to previously approved Associated Equipment required to satisfy the requirements set forth in the Associated Equipment regulatory structure that is not considered new Associated Equipment.

---

### **REQUIREMENT GUIDES**

The Technology Division has compiled a regulation guide for Associated Equipment titled “Associated Equipment Requirement Matrix”. Accompanying this guide are over 20 Associated Equipment Compliance Report Templates. These are all available at:

<https://www.gaming.nv.gov/about-us/forms-and-applications/gaming-lab/#gaminglab>

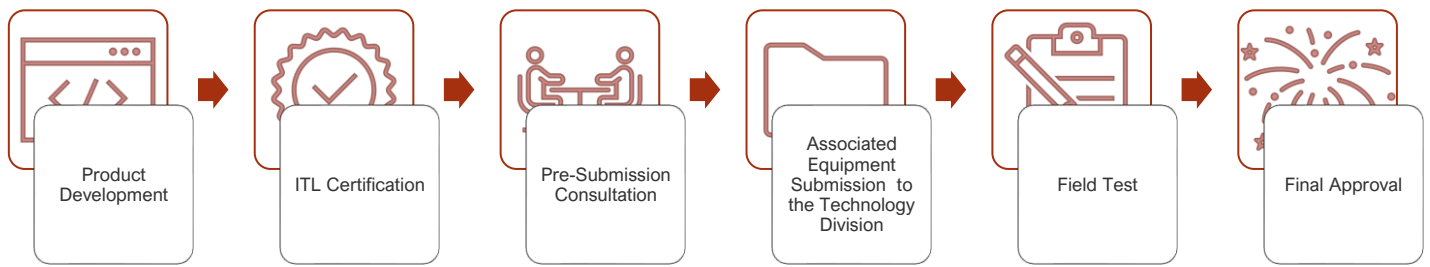
---

### **INNOVATION BANK**

In certain cases, a new product may be allowed to go to a field test under NGC Regulation 14.280 without requiring prior ITL certification under the Innovation Bank initiative. These types of products must introduce a specific feature or function that can be released in a limited fashion in the field. Please contact the Technology Division to discuss the potential product and proposed deployment.

## **NEW ASSOCIATED EQUIPMENT REVIEW AND APPROVAL**

The general approval flow for new Associated Equipment is as follows:



**Figure 1 - New Associated Equipment Process**

The manufacturer may consult with the Technology Division at any step in this process. The process is described at a high level below.

### **ITL CERTIFICATION**

A manufacturer must obtain a certification from an Independent Testing Laboratory (ITL). The certification report attests to the device’s compliance with all applicable regulations and technical standards for Nevada. Certification must be completed before submitting the device for approval, unless the manufacturer has engaged the Technology Division with a potential Innovation Bank concept.

### **PRE-SUBMISSION CONSULTATION**

Before submitting the Associated Equipment to the Technology Division, several items will need to be administratively handled/provided:

- Deposit instructions
- Submission upload instructions
- Innovation Bank review if Innovation Bank is being pursued

### **ASSOCIATED EQUIPMENT SUBMISSION TO THE TECHNOLOGY DIVISION**

The manufacturer of the Associated Equipment must submit to the Technology Division the materials required in the section titled “Electronic Submission Requirements”.

Technology Division staff will perform any administrative review required to confirm that the submission is complete and that the Associated Equipment was certified properly by an ITL. The manufacturer will be required to correct the

deficiencies and provide the Technology Division with the corrections necessary to complete the submission.

### **FIELD TEST (IF APPLICABLE)**

Pursuant to NGC Regulation 14.280, the Chair may allow or require Associated Equipment to be field tested at a licensed gaming establishment.

The authorization for a field test will contain field test period procedures to be conducted by the test location and the manufacturer. During the field test period, an interim review of the system will be conducted in order to evaluate the operation of the Associated Equipment on field test. A final review of the field test period procedures will be conducted prior to the completion of the field test.

The decision to require a field test is at the sole and absolute discretion of the Chair. Requests to forgo a field test of a new Associated Equipment must include detailed evidence of the aforementioned requirements.

### **FINAL APPROVAL/DISAPPROVAL**

Upon the successful conclusion of any field test and review period, the Associated Equipment manufacturer will receive written notice of approval or disapproval of the Associated Equipment. Subsequent changes to the implementation of, or modifications to, the approved Associated Equipment will require additional approval by the Board prior to being installed at a licensed gaming establishment. The review of the subsequent changes will follow the Technology Division's Modification Review Process.

### **NEW ASSOCIATED EQUIPMENT SUBMISSION REQUIREMENTS**

Prior to making a submission to the Technology Division, it is advised to contact us to finalize submission instructions, receive information on making a deposit, creating an account to upload submission materials, or receive guidance on an Innovation Bank request.

New Associated Equipment submissions must contain the following items to be considered complete:

---

## **NEW ASSOCIATED EQUIPMENT APPROVAL REQUEST FORM**

Form GL-02 must be signed by an officer with sufficient authority to bind the manufacturer and who has sufficient knowledge and understanding of the Associated Equipment being submitted.

This form can be found on the Board's website under Forms and Applications, or can be found at the following link:

<https://www.gaming.nv.gov/about-us/forms-and-applications/gaming-lab/#gaminglab>

This form must be complete and signed, otherwise the submission will be considered incomplete and may be rejected.

---

## **DEPOSIT**

Before the submission of new Associated Equipment, the manufacturer must make a deposit of no less than \$10,000 USD. Once the Technology Division has evaluated the complete submission package and has determined the scope of the review, the Associated Equipment manufacturer may be notified that additional funds are required to cover the anticipated cost of the review. At that time, the manufacturer will be required to make a deposit sufficient to cover the anticipated review cost.

Please contact the Technology Division for instructions on making this deposit.

---

## **COMPLIANCE REPORT**

A Compliance Report is a document that walks through all the relevant statutes, regulations, MICS, and technical standards and states how the product complies with each.

This can be in either a Word or Excel style format, but in general should be in either table or paragraph form, list the requirement, and then list the description of how the product complies.

It is recommended to use the Associated Equipment Requirements Matrix or the relevant Associated Equipment Compliance Report Template found on the Board website at:

<https://www.gaming.nv.gov/about-us/forms-and-applications/gaming-lab/#gaminglab>

---

### **REPORT MATRIX**

For Associated Equipment that produces revenue reports, exception reports, and user access listings, a short document listing the title of each regulated report and the regulations it satisfies must be compiled.

The desired format for this document is an Excel document, with Column A as the report titles, and Column B listing the regulations.

---

### **COMPLETE TECHNICAL DOCUMENTATION**

The submission must contain thorough documentation in both technical and lay terms that details the implementation, operation, and intended use of the Associated Equipment. Examples of the required documentation include:

- i. An overview of the Associated Equipment in lay terms outlining functionality of the product along with its intended method of operation and use.
- ii. Schematics
- iii. Topology Diagrams
- iv. Release Notes
- v. User Manuals

If the Associated Equipment contains built-in accounting or revenue reports, the submission must include a sample audit.

---

### **CERTIFICATION REPORT FROM A REGISTERED INDEPENDENT TESTING LABORATORY (ITL)**

Pre-approval inspection is performed by an ITL. The submission must list the certification report and any supplementary materials provided by the ITL. It is not necessary to include the certification report itself, but all relevant report numbers must be listed on the submission form.

A list of registered ITL's can be found at:

<https://www.gaming.nv.gov/divisions/gaming-lab/registered-independent-testing-laboratories/>

---

### **SAMPLE AUDIT**

If the Associated Equipment contains built-in accounting or revenue reports, the submission must include a sample audit.

Similar to the certification report, it is not necessary to include the certification report itself, but all relevant report numbers must be listed on the submission form.

---

### **ACCOUNTING NARRATIVE**

If requested by the Board, an Associated Equipment Manufacturer must compile an accounting narrative for the product.

An accounting narrative is a detailed, written description of the expected auditing procedures including screenshots or sample reports where possible. It must be explicit in the exact use to report revenue.

---

### **DOCUMENTATION SUPPORTING A FIELD TEST**

If a field test is required, a letter from a licensed gaming operator that expresses willingness to host a field test of the Associated Equipment and includes a description of the intended deployment during test (banks, sites, number of kiosks, etc...) as well as a point of contact for the field test.

---

### **REGULATION 14.060 CONTACT**

NGC Regulation 14.060(1) requires that each manufacturer designate an individual to respond to inquiries from the Chair. This individual must have an understanding of the product to be able to answer these inquiries.

This individual will be the primary point of contact for the Technology Division and will receive all approvals, disapprovals, requests for funds, and any disciplinary actions.

To identify the individual who will act as this primary contact, a letter on company letterhead should be included with the submission stating who the individual is and their contact information including telephone number and email. This letter must be signed by a company official with sufficient authority to designate this individual.

If additional contact points are desired, they may also be listed on the letter and if there is a specific type of correspondence each should receive, e.g. an officer from the accounting department to be included on requests for funds. Adding parties to this contact letter does not alleviate the requirement that there be a single primary contact point.

---

## MISCELLANEOUS

Any additional information, programming, equipment or other items necessary to evaluate the Associated Equipment must be included in the submission. This may include items such as manuals, topology diagrams, agency notifications, etc...

Submission materials must be supplied to the Board in the format described in the section titled “Electronic Submission Requirements”.

## ASSOCIATED EQUIPMENT MODIFICATION SUBMISSION REQUIREMENTS

Associated Equipment modifications follow a similar process for approval as new Associated Equipment:

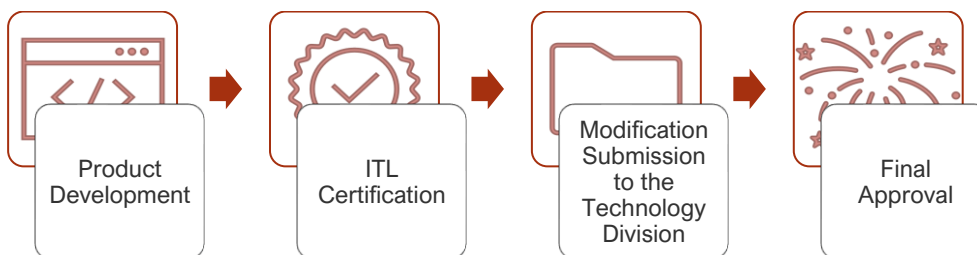


Figure 2 - Associated Equipment Modification Process

The manufacturer may consult with the Technology Division at any step in this process.

The above diagram illustrates a summary of the standard modification submission process. This is one of four ways to submit associated equipment changes. In total, the four ways are:

- A Standard modification submission
- ACES Category 1 submission
- ACES Category 2 submission
- An Emergency Submission

The other submission methods are described below.

---

### **ACES INITIATIVE MODIFICATION NOTIFICATION**

The ACES initiative allows for a manufacturer to submit any change to previously approved Associated Equipment prior to receiving an ITL certification for updates that may change the SHA-1 signature of regulated controlled software, but do not affect gaming revenue calculation or add a new regulated feature. Please see the most recent ACES Industry Notice for details on this process.

ACES Category 1 submissions are, at a high level, notifications to the Board. These changes consist of updates to regulated files but the changes themselves have no regulatory impact. Examples include:

- Installer changes
- W2G updates
- Adjustments to non-regulated reports or forms
- Database optimization, indexing, version compatibility changes
- Operating system performance updates
- Graphical changes such as those related to the user interface
- Non-gaming system interface changes such as those related to lodging, food and beverage, or retail systems
- Peripheral and other I/O device support

No approvals are issued for ACES Category 1 updates, so these updates may be deployed after sending in the notification. Once the ACES Category 1 notification is submitted, the manufacturer has 180 days to compile a standard submission

which is then submitted to the Board. The standard submission includes an ITL certification.

ACES Category 2 submissions are essentially standard submissions minus the ITL certification. These can include minor changes to regulatory functions provided there is no revenue reporting impact and do not introduce any new functionality. Examples include:

- Player tracking, promotional, or loyalty system changes
- Communication handling or system architecture
- Changes to regulated forms
- Reconfiguring how information is displayed to the operator or patron
- Non-critical field issue fixes

ACES Category 2 will have an approval letter issued after submission; manufacturers must wait for this approval before being deploying the updates to the field. Once approval is received the product may be deployed and the manufacturer has 180 days to compile a standard submission which is submitted to the Board. The standard submission includes an ITL certification and should include form GL-09 showing all the previous ACES updates included since the last certification.

---

## **EMERGENCY MODIFICATIONS**

NGC Regulation 14.300(3) allows for an emergency modification to be made in the cases of cheating or a malfunction. Emergency modifications require approval from the Chair but may be submitted without prior certification from an ITL. For Emergency Modifications, contact the Deputy Chief of the Technology Division to determine if the requested change qualifies. To qualify, the modification must address either a cheat or malfunction, and the changes must be restricted addressing the main issue. Other changes that may have been included due to the product development cycle must be excluded.

The Deputy Chief will give direction on submittal, which will typically include emailing required documentation to them. The information will be presented to the Chair of the Board for their decision on whether to grant the emergency modification. If they grant the emergency modification an approval number will be issued to the manufacturer via email and they may deploy the update. A formal approval letter does not come at this time.

Upon issuance of the approval number, the manufacturer will have 15 days to submit the modification to an ITL. Again, this update should be restricted to the changes that were reviewed by the Board earlier in the process. A standard submission package will need to be compiled, including the ITL certification, and submitted to the Board. At this time the Board will issue the formal approval letter associated with the previously communicated approval number.

The four submission processes are summarized in the chart on the next page.

## AE SUBMISSION FLOWCHART FOR THE FOUR DIFFERENT SUBMISSION TYPES

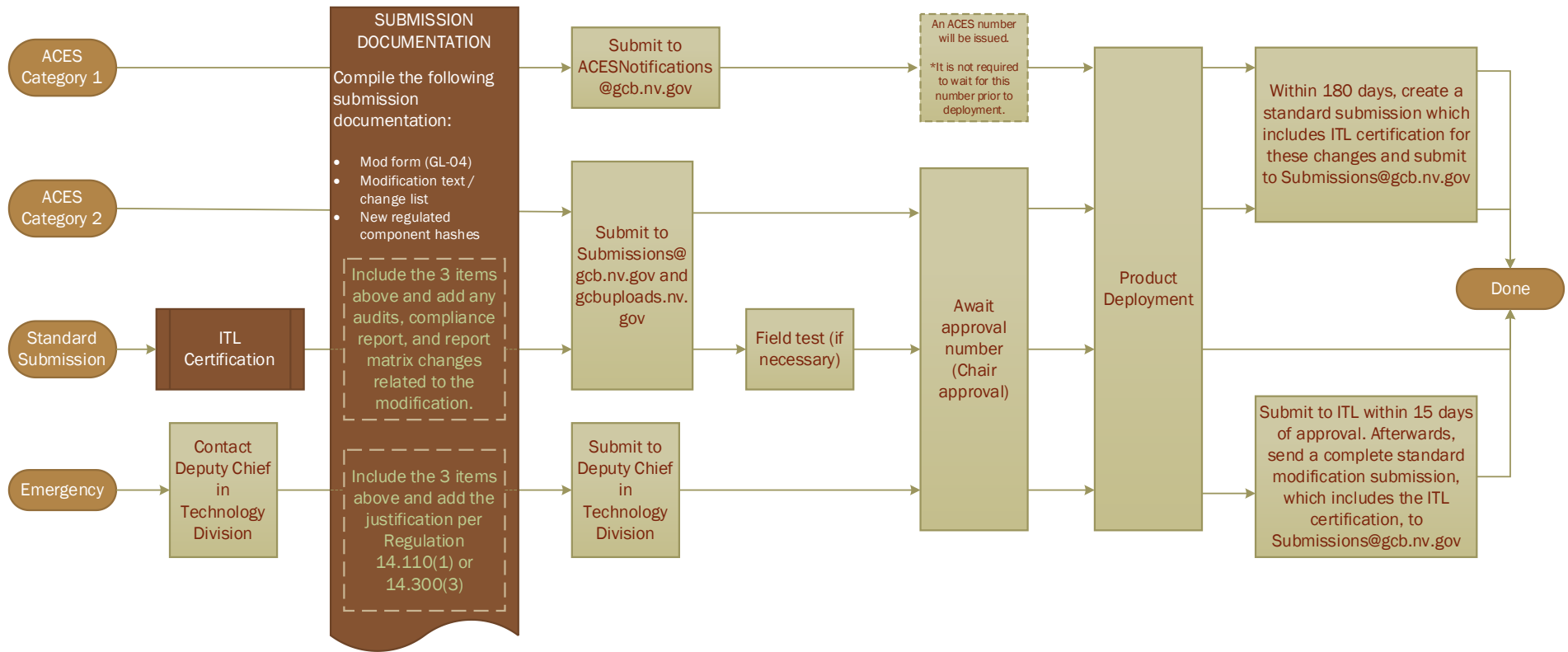


Figure 3

## **SUBMISSION DOCUMENTATION FOR ASSOCIATED EQUIPMENT MODIFICATIONS**

Associated Equipment modification submissions must contain the following items to be considered complete:

---

### **MODIFICATION APPROVAL REQUEST FORM**

Form GL-04 must be signed by an officer with sufficient authority to bind the manufacturer and who has sufficient knowledge and understanding of the Associated Equipment being submitted. This form can be found on the Board's website under Forms and Applications, or can be found at the following link:

<https://www.gaming.nv.gov/about-us/forms-and-applications/gaming-lab/#gaminglab>

---

### **MOD TEXT**

A specific document must be submitted describing each change to the previously approved Associated Equipment component in both technical and lay terms. The modification text must be written in English using complete sentences. Additionally, all documentation must be checked for correct spelling and grammar usage. Source code version control system logs are not acceptable. The modification document must be in plain text and be placed in a folder on the root of the submission archive named "ModDocs" (i.e., D:\ModDocs\modtext.txt). This document is required for both software and hardware modifications.

---

### **HARDWARE MODIFICATIONS**

Hardware submissions must also include any applicable schematics, wiring diagrams, datasheets, or OEM product descriptions.

---

### **COMPLIANCE REPORT**

If functionality is added or changed that changes the way the Associated Equipment complies with Nevada regulations, a new Compliance Report must be supplied showing the effective changes.

---

## **REPORT MATRIX**

If new regulated reports are added, a new Report Matrix must be supplied showing the effective changes.

---

## **CERTIFICATION REPORT FROM A REGISTERED INDEPENDENT TESTING LABORATORY (ITL)**

Pre-approval inspection is performed by an ITL. The submission must list the certification report and any supplementary materials provided by the ITL. It is not necessary to include the certification report itself, but all relevant report numbers must be listed on the submission form.

---

## **SHA-1 SIGNATURE DOCUMENT**

For ACES Category 1 or 2 submissions, a document containing SHA-1 signatures/hashees for all modified regulate files must be included as part of the submission.

---

## **SAMPLE AUDIT**

If new features were added or functionality changed with regard to reporting, a new sample audit must be included.

---

## **DEPOSIT**

Should the manufacturer's account not have a balance sufficient to cover the anticipated cost of the review of the modification, the manufacturer will be required to deposit sufficient funds to cover the cost of the review.

---

## **MISCELLANEOUS**

Any additional information, programming, equipment or other items necessary to evaluate the Associated Equipment must be included in the submission. This may include items such as manuals, topology diagrams, agency notifications, etc...

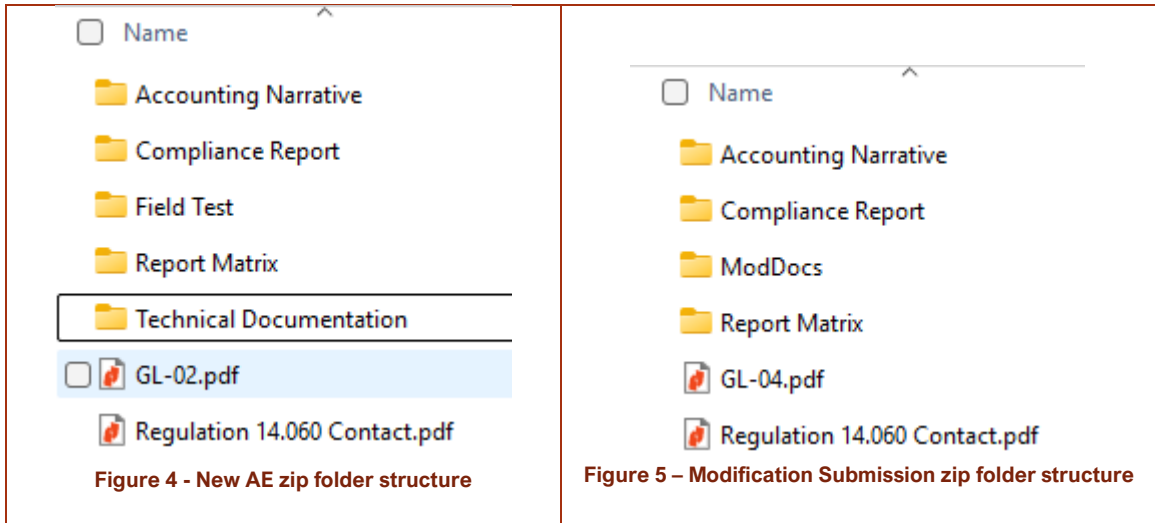
Submission materials must be supplied to the Board in the format described in the section titled "Electronic Submission Requirements".

## **ELECTRONIC SUBMISSION REQUIREMENTS**

All submissions are currently handled through electronically submitted materials. If you do not have an account to submit these materials, please contact the

Deputy Chief of the Technology Division. Upload instructions will be provided to account holders only.

Submission materials should be gathered into the corresponding file structure below:



All materials required above must be compressed into a password protected zip file. Once the zip file is uploaded, please send an email to the Board with the password for the file.

Please do not attach documentation to any email correspondence or upload materials if they are not in a password protected zip file unless specifically requested to do so.

## CONTACT US

If there are any questions, please contact us through the channels below:

Nevada Gaming Control Board  
Technology Division  
7 State of Nevada Way  
Las Vegas, NV 89119  
702-486-2043  
[gcbtechnology@gcb.nv.gov](mailto:gcbtechnology@gcb.nv.gov)