



Nevada Gaming Control Board
Investigations Division
Attention: Registration Services
7 State of Nevada Way
Las Vegas, NV 89119
(702) 486-2260
RegistrationServices@gcb.nv.gov

INDEPENDENT AGENT ACKNOWLEDGEMENT **ANTI-MONEY LAUNDERING (AML)**

Individual Applicant Name: _____

To support federal anti-money laundering laws (AML), the Nevada Gaming Control Board requires every independent agent to receive AML training and to acknowledge their understanding and commitment to comply with Title 31 (31 CFR Chapter X), also known as the Bank Secrecy Act (BSA), and its regulations.

As an acknowledgement, place your initials next to each of the following statements:

- _____ I have completed AML training provided by the licensee (casino) and understand my responsibilities.
- _____ I understand that once registered with the Board, I can act on behalf of one or more licensees (casinos), provided each additional casino first submits an acknowledgment to the Board that I have completed the casino's anti-money laundering training and that due diligence regarding me was conducted, finding acceptable results.
- _____ I understand it is a crime to knowingly participate in transactions when I am aware the funds involved are the proceeds of illegal activity (18 U.S.C. § 1956). Such illegal activity includes taking "dirty" money (money earned from crimes) and moving it through the financial system, including a casino, to make it appear "clean." Such activities can be, but are not limited to illegal bookmaking, drug dealing, human trafficking/prostitution, and illegal weapons sales.
- _____ I must have direct, firsthand knowledge of my patron's source of funds to verify their credit or deposit into the casino.
- _____ If I become aware or suspect my patron may be using an illegal source of funds, I will report it to the casino immediately.
- _____ If I learn or become aware that my patron lacks the resources to support the funds they are presenting to the casino, I will report it to the casino immediately.
- _____ Pursuant to NGC 5.011(1)(h), failure to comply with or make provision for compliance with all federal, state, or local laws and regulations...is an unsuitable method of operation and can result in the Board filing a complaint against me, requesting I file an application for a finding of suitability and/or revocation of my registration.
- _____ Pursuant to NGC 25.050(2)(e), the casino may withhold any compensation, including any commissions, on the play of any of my patrons where the licensee is unable to establish or verify the patron's legitimate source of funds.

Signature: _____