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STATE OF NEVADA

GAMING CONTROL BOARD


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NOTICE TO LICENSEES

Notice #2011-46

Issuing Division: Investigations

DATE: August 2, 2011
TO: Registered Publicly Traded Corporations
FROM: Mark A. Lipparelli, Chairman, State Gaming Control Board 
SUBJECT: NRS 463.639 and Regulation 16.330 Reporting Requirements

Pursuant to NRS 463.639 and NGC Regulation 16.330, each publicly traded corporation (“PTC”) registered with the Nevada Gaming Commission (“Commission”) under NRS 463.635(1)(b) has been required to provide the State Gaming Control Board’s (“Board”) Investigations Division - Corporate Securities Section (“Investigations Division”) with one or more copies of each document it or any beneficial owner of its equity securities files with the Securities and Exchange Commission (“SEC”) or with any national or regional securities exchange. Additionally, pursuant to NRS 463.639 and/or NGC Regulation 16.330, registered PTCs have also been required to provide the Investigations Division with:

- (1) An annual list of the holders of its voting securities, or more frequently as such lists are prepared (NGC Regulation 16.330(3));
- (2) A written report of any change in its directors, executive officers, or any other officers actively and directly engaged in the administration or supervision of the gaming activities at a licensed gaming establishment associated with it (NRS 463.639(1)(a); NGC Regulation 16.330(4));
- (3) A written report whenever informed that a controlling person, as defined in NGC Regulation 16.010(4), has disposed of any of its voting securities (NGC Regulation 16.330(5));
- (4) A copy of all press releases issued by it or any licensed subsidiary at or before the time of release (NGC Regulation 16.330(6)); and
- (5) A copy of its Annual Report to Shareholders, if such report is prepared.

With the recent amendments to NRS 463.639 and NGC Regulation 16.330, registered PTCs are no longer required to submit *all* of the above-referenced documents to the

Investigations Division (see Senate Bill 218 (2011)). Instead, unless requested to do so by the Chairman of the Board, the only documents that registered PTCs must continue to submit to the Investigations Division are those described in subparagraphs (2) through (5) above. In other words, unless required to do so by the Chairman of the Board, registered PTCs are no longer required to provide the Investigations Division with copies of each document it or any beneficial owner of its equity securities file with the SEC or with any national or regional securities exchange, nor with an annual (or more frequent) list of the holders of its voting securities.

Additionally, the foregoing summary of the modified filing requirements that have gone into effect with the recent amendments to NRS 463.639 and 463.643 as well as NGC Regulation 16.330 is not intended to be a substitute for your Company's independent review and study of said amendments. For instance, even though a registered PTC no longer needs to provide the Investigations Division with copies of proxy statements or information statements subject to Regulations 14A and 14C of the SEC, respectively, if such statements include a discussion of the Gaming Control Act or NGC Regulations, pursuant to NGC Regulation 16.310, they must be filed with the Investigations Division. Accordingly, it is recommended that you review Senate Bill 218 (2011) reflecting the amendments to NRS 463.639 and 463.643 at www.leg.state.nv.us and NGC Regulation 16.330, as amended, at www.gaming.nv.gov for further information on this matter.

After reviewing this notice, if you have any questions or comments regarding the new filing requirements, please contact Mike LaBadie, Chief of the Board's Investigations Division, at (775) 684-7870.