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NOTICE TO LICENSEES

Notice #2013-66

Issuing Division: Audit

DATE: August 29, 2013

TO: All Licensees and Interested Persons

FROM: A.G. Burnett, Chairman 

SUBJECT: New Applicant Checklist for an Operator of Interactive Gaming

The Nevada Gaming Control Board has created a "New Applicant Checklist – Operator of Interactive Gaming" as a tool for assisting applicants to achieve compliance with all applicable laws, regulations and other requirements. Copies of this checklist may be obtained from the Board's website at <http://gaming.nv.gov> under the "Forms and Applications" link for the Audit Division.

If you have any questions, please contact Audit Division Chief Shirley Springer at (702) 486-2060.

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NEW APPLICANT CHECKLIST
OPERATOR OF INTERACTIVE GAMING

"Operator of interactive gaming" or "operator" means a person who operates interactive gaming. An operator of interactive gaming who is granted a license by the commission is a licensee. **[Regulation 5A.020(8)]**

This checklist is provided as a tool to assist an operator of interactive gaming with achieving compliance with various pre-opening requirements, and with gaming statutes and Nevada Gaming Commission/State Gaming Control Board Regulations. Each applicant is responsible for ensuring compliance with all applicable laws, regulations and other requirements, including those that may not be addressed in this checklist.

	Action Taken/ Completed
<u>Audit Division</u>	
<p>Internal Control System</p> <p>See Board's website at: http://gaming.nv.gov/modules/showdocument.aspx?documentid=2212</p> <p>Contact Audit Division's Internal Control Coordinator for inquiries.</p>	
<p>1. Each applicant shall submit a written system of internal control which describes in detail the administrative and accounting procedures of the operation. These procedures must comply with the Interactive Gaming Minimum Internal Control Standards, available at: http://gaming.nv.gov/modules/showdocument.aspx?documentid=4553.</p> <p>Please submit all internal control systems via email to lvaudit.filings@gcb.nv.gov for (Las Vegas) and rnaudit.filings@gcb.nv.gov for (Reno).</p>	
<p>2. The submission of the internal control system must also include:</p>	
<p>a) A written statement signed by the licensee's chief financial officer and either the licensee's chief executive officer or a licensed owner attesting that the system satisfies the requirements of Regulation 6.090. An Internal Control System Submission Summary, which is available at: http://gaming.nv.gov/modules/showdocument.aspx?documentid=2213 will meet this requirement. [Regulation 6.090(2)(d)]</p>	

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	Action Taken/ Completed
<p>b) Attest letter from an independent accountant stating that the applicant's written system of internal control has been reviewed by the accountant and complies with the requirement of Regulation 6.090. [Regulation 6.090(2)(e)]</p>	
<p>3. If the methods of deposits and/or debits to be transacted for an interactive gaming account do not fall within the allowed methods pursuant to Regulation 5A.120(4) and (6), written approval by the chairman is required. [Regulation 5A.120(4)(f) and/or 5A.120(6)(f)]</p>	
<p>4. Establish a reserve for an amount equivalent to the projected sum of all authorized player's funds held in the interactive gaming accounts. [Regulation 5A.125]</p> <p>See Model Reserve Agreements at:</p> <p>Bond: http://gaming.nv.gov/modules/showdocument.aspx?documentid=7535 or</p> <p>Non-Bond: http://gaming.nv.gov/modules/showdocument.aspx?documentid=7534</p>	
<p><u>Enforcement Division</u></p>	
<p>5. The comprehensive house rules governing wagering transactions by and between authorized players must be submitted to the chairman for approval. [Regulation 5A.100]</p>	
<p>6. The agreement to be used between an operator and its authorized players pertaining to interactive gaming and authorized players' access to their interactive gaming account must be submitted to the chairman for approval. [Regulation 5A.120(12)]</p>	
<p><u>Investigations Division</u></p>	
<p>7. A detailed business plan of operation is to be submitted to the chairman for approval. [License Condition]</p>	

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	Action Taken/ Completed
8. Upon being granted a license by the commission, deposit with the board a revolving fund in an amount of \$20,000, unless a lower amount is approved by the chairman. [Regulation 5A.090(2)]	
9. For entities that do not have an "Order for Registration" (i.e., non-public entity); however, the operator of interactive gaming license condition requires a compliance plan, the adoption of an interactive gaming compliance plan is to be submitted to the chairman for approval.	
<u>Tax and License Division</u>	
10. Pay the license fees established pursuant to NRS 463.765. [Regulation 5A.040]	
11. Ensure appropriate action has been taken on any "prior to license issuance" conditions.	
<u>Technology Division</u>	
12. A complete submission of the interactive gaming system to be used. [Regulation 5A.060(1)]	
13. Provide a list of all persons who may access the main computer or data communications components of their interactive gaming system. [Regulation 5A.060(2)]	