

## STATE OF NEVADA

## **GAMING CONTROL BOARD**

1919 College Parkway, P.O. Box 8003, Carson City, Nevada 89702
555 E. Washington Avenue, Suite 2600, Las Vegas, Nevada 89101
3650 S. Pointe Circle, Suite 203, P.O. Box 31109, Laughlin, Nevada 89028
557 W. Silver Street, Suite 207, Elko, Nevada 89801
9790 Gateway Drive, Suite 100, Reno, Nevada 89521
750 Pilot Road, Suite H, Las Vegas, Nevada 89119

A.G. BURNETT, Chairman SHAWN R. REID, Member TERRY JOHNSON, Member

## **NOTICE TO LICENSEES**

Notice # 2014-14 Issuing Division: Investigations

DATE: FEBRUARY 12, 2014

TO: ALL REGISTERED PUBLICLY TRADED CORPORATIONS,

LICENSEES AND OTHER INTERESTED PERSONS

FROM: A.G. BURNETT, CHAIRMAN

SUBJECT: NOTICE OF COMPLIANCE PLAN REVIEWS TO BE CONDUCTED BY

THE GAMING CONTROL BOARD

This notice is to inform that the State Gaming Control Board (Board) plans to begin conducting compliance plan reviews on a recurring basis of every two to three years for each registered publicly traded corporation and licensee which is required to have a compliance plan in place pursuant to its Order of Registration or as a condition on its gaming license.

The purpose of these compliance plan reviews will be to evaluate the effectiveness of the respective compliance plans as well as to review overall compliance activities and procedures. During the review process, the Board will interview appropriate company personnel to gain an understanding of the processes used to implement the compliance plan, and will review relevant source documents including due diligence files.

Since it is the Board's intent to conduct these compliance plan reviews every two to three years, it will begin with those companies that have not been subject to a compliance plan review within the last two years with a goal of completing all of the reviews by the end of 2016.

Each company will be further notified prior to the start date of its review. Questions regarding this procedure should be directed to Marc Warren, Deputy Chief of the Corporate Securities Section at (775) 684-7791.